

2874

Image



# FEE TRANSMITTAL

Complete if known

Application Number: 09/828,842
Filing Date: April 10, 2001
First Named Inventor: Sherrer, et al.
Group Art Unit: 2874
Examiner Name: Akm E. Ullah
Attorney Docket Number: R&H 03-13; DN: 51938 (ACT - 148)

Total Amt. of Payment: (1)+(2)+(3)= \$0

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																							
<p>1. The Commissioner is hereby authorized to:</p> <p><input type="checkbox"/> Charge indicated fees</p> <p><input checked="" type="checkbox"/> Charge additional fees</p> <p><input checked="" type="checkbox"/> Credit overpayments</p> <p>to the account of DANN, DORFMAN, HERRELL &amp; SKILLMAN</p> <p>Deposit Account Number <u>04-1406</u></p> <p>2. Payment enclosed:</p> <p>Check in the amount of \$<u>0</u></p>		<p><b>ADDITIONAL FEES</b></p> <table><thead><tr><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Surcharge-late filing fee or oath</td><td></td></tr><tr><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>Extension for response within first month</td><td></td></tr><tr><td>Extension for response within second month</td><td></td></tr><tr><td>Extension for response within third month</td><td></td></tr><tr><td>Extension for response within fourth month</td><td></td></tr><tr><td>Notice of Appeal</td><td></td></tr><tr><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>Request for oral hearing</td><td></td></tr><tr><td>Petition to revive unavoidably abandoned application</td><td></td></tr><tr><td>Petition to revive unintentionally abandoned application</td><td></td></tr><tr><td>Issue fee</td><td></td></tr><tr><td>Petitions to the Commissioner</td><td></td></tr><tr><td>Petitions related to provisional applications</td><td></td></tr><tr><td>Submission of Information Disclosure Stmt.</td><td></td></tr><tr><td>Recording each patent assignment per property</td><td></td></tr><tr><td>Other fee (specify) <u>Advance Order (10 copies)</u></td><td></td></tr><tr><td>Other fee (specify)</td><td></td></tr></tbody></table>		Fee Description	Fee Paid	Surcharge-late filing fee or oath		Surcharge - late provisional filing fee or cover sheet		Extension for response within first month		Extension for response within second month		Extension for response within third month		Extension for response within fourth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to revive unavoidably abandoned application		Petition to revive unintentionally abandoned application		Issue fee		Petitions to the Commissioner		Petitions related to provisional applications		Submission of Information Disclosure Stmt.		Recording each patent assignment per property		Other fee (specify) <u>Advance Order (10 copies)</u>		Other fee (specify)	
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Submitted By:  
Typed or

Printed Name Niels Haun  
Signature [Signature]

Reg. Number 48,488

Date March 9, 2004

Deposit Account User ID  
04-1406



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Sherrer, et al.**

Application No. 09/828,842

Attorney Docket No.: R&H 03-13; DN: 51938 (ACT 148)

Filed: April 10, 2001

For: OPTICAL SWITCH AND METHOD  
FOR MAKING

Examiner: Akm E. Ullah

Group Art Unit: 2874

**CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to Commissioner for Patents, Alexandria, VA 22313-1450.

3.9.2004  
Date of Certificate

C. Donahue  
Cristin Donahue

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of the RCE. However, Applicants have not yet received an action on the merits of the application. Accordingly, Applicants believe that no fee is required pursuant to 37 C.F.R. §1.97 (b) (3). In the event a fee is required, the Commissioner is authorized to charge the required fees to deposit account no. 04-1406. A duplicate copy of this sheet is included for fee processing, if necessary.

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

By



Niels Hahn

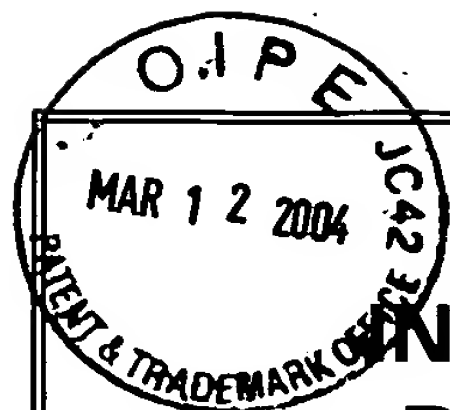
PTO Registration No. 48,488

Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449



# INFORMATION DISCLOSURE STATEMENT

*Complete if known*

Application Number: 09/828,842

Filing Date: April 10, 2001

First Named Inventor: Sherrer, et al.

Group Art Unit: 2874

Examiner Name: Akm E. Ullah

SHEET 1 OF 1

Attorney Docket Number: R&H 03-13

## UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
		5727099	03/10/1998	Harman
		5757991	05/26/1998	Harman
		6625356	09/23/2003	Ticknor et al.

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
		DE 3927441 (with English translation)	Germany	02/21/1991	Eicher, Joachim et al.
		EP0476241 (with English translation)	European Office	03/25/1992	Hirschmann, Richard

## OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S SIGNATURE	DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.